Human Resources Excellence in Research

2014-2016 Action Plan
Based on the outcome of the gap analysis, the members of the HR Working Group selected principles that require support and improvement at the institutional level. For each such principle, they outlined the current situation at IRBLLeida, proposed relevant corrective actions, appointed staff to implement them, and established a timeframe for implementation. This Action Plan is presented in the table below:

Principle Gap Analysis Action Plan - Ethical Principles

<table>
<thead>
<tr>
<th>Principle</th>
<th>Gap Analysis</th>
<th>Action Plan</th>
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<tbody>
<tr>
<td>Ethical Principles</td>
<td>Dissatisfied respondents were of the opinion that IRBLLeida ought to define a Code of Ethics and Good Scientific Practices based on the Catalan Health Board’s Guide to Good Practices in Health Sciences Research.</td>
<td>They also called for a revised Edition of this guide and the drafting of IRBLLeida’s Code of Ethics and Good Scientific Practices. Define actions for its implementation at the IRBLLeida. Who: Quality Committee When: Q4 2015 Indicator: Documentation (IRBLLeida’s Code of Ethics and Good Scientific Practices) &amp; Dissemination</td>
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<td>Professional attitude</td>
<td>Dissatisfied respondents think that researchers at the IRBLLEIDA are not familiar with the strategic goals governing their research environment and funding</td>
<td>Integrate HR Strategy into IRBLLeida’s Strategic Plan. Who: Steering Committee When: Q4 2014 Indicator: Documentation (IRBLLeida’s Strategic Plan)</td>
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all necessary approvals before starting their research or accessing the resources provided. They should inform their employers, funders or supervisor when their research project is delayed, redefined or completed, or give notice if it is to be terminated earlier or suspended for whatever reason.

<table>
<thead>
<tr>
<th>Contractual and legal obligations</th>
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<tr>
<td>Researchers at all levels must be familiar with the national and institutional regulations governing training and/or working conditions. This includes Intellectual Property Rights regulations, and the requirements and conditions of any sponsor or funders, independently of the nature of their contract. Researchers should adhere to such regulations by delivering the required results (e.g. thesis, publications, patents, reports, new products development, etc) as set out in the terms and conditions of the contract or equivalent.</td>
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</table>

Dissatisfied respondents think that researchers at the IRBLLEIDA at all levels are not familiar with the national or institutional regulations governing training and/or working conditions.

Raise awareness among students/researchers about national and institutional regulations governing training and/or working conditions.

**Who:** HR  
**When:** Q3 2015  
**Indicator:** Dissemination  
**No. of meetings held:**
### Non-discrimination

Employers and/or funders of researchers will not discriminate against researchers in any way on the basis of gender, age, ethnic, national or social origin, religion or belief, sexual orientation, language, disability, political opinion, social or economic condition.

IRBLleida management should foster and ensure gender equality at all professional levels, aligned with the mission of this Institute and national and European policies and regulations.

**Who:** HR  
**When:** Q4 2014  
**Indicator:** Documentation (IRBLleida’s Equal Opportunities and Diversity Management Plan) & Dissemination

### Evaluation/appraisal systems

Employers and/or funders should introduce for all researchers, including senior researchers, evaluation/appraisal systems to assess their professional performance on a regular basis and in a transparent manner by an independent (and, in the case of senior researchers, preferably international) committee. Such evaluation and appraisal procedures should take due account of their overall research creativity and research results, e.g. publications, patents, management of

IRBLLEIDA should support as much as possible the selected group of researchers who are currently publishing in top journals and, on the other hand, should help the rest of the researchers to try to catch up this leading group.

Develop the IRBLLEIDA’s initiatives to support the IRBLLEIDA Research Group Plan.

**Who:** Director  
**When:** Q2 2015  
**Indicator:** Documentation (IRBLLEIDA’s initiatives to support the IRBLLEIDA Research Group Plan) & Dissemination
research, teaching/lecturing, supervision, mentoring, national or international collaboration, administrative duties, public awareness activities and mobility, and should be taken into consideration in the context of career progression.

**Recruitment**
Employers and/or funders should ensure that the entry and admission standards for researchers, particularly at the beginning of their careers, are clearly specified and should also facilitate access for disadvantaged groups or for researchers returning to a research career, including teachers (of any level) returning to a research career. Employers and/or funders of researchers should adhere to the principles set out in the Code of Conduct for the Recruitment of Researchers when appointing or recruiting researchers.

IRBLLEIDA as an employer has established selection and recruitment procedures which are open, efficient and transparent, but these procedures must be reviewed in order to include all C&C principles.

Develop a Policy on Recruitment and Selection of IRBLLEIDA personnel according to C&C principles.

**Who**: HR

**When**: Q1 2016

**Indicator**: Documentation

(Policy on Recruitment and Selection of IRBLLEIDA Personnel) & Dissemination

**Career development**
Employers and/or funders of researchers should draw up,

The majority of researchers disagree with the statement that the IRBLLEIDA

Develop the IRBLLEIDA’s Researcher’s Career Development Plan.
preferably within the framework of their human resources management, a specific career development strategy for researchers at all stages of their career, regardless of their contractual situation, including for researchers on fixed-term contracts. It should include the availability of mentors involved in providing support and guidance for the personal and professional development of researchers, thus motivating them and contributing to reducing any insecurity in their professional future. All researchers should be made familiar with such provisions and arrangements.

| Access to research training and continuous development | There is increased availability of different types of education in practice, although it should be more intense. In order to face successfully the future challenges of the Institute, IRBLleida should reinforce its life-long learning targeted to research and managerial staff so that they may acquire new skills and | Provide training and talks in complementary skills: tech transfer, EU project and IP management, communication, etc. | Who: HR  
When: Q2 2016  
Indicator: Documentation (IRBLLEIDA’s Researcher’s Career Development Plan) & Dissemination |

|  | as an employer of researchers should draw up a specific career development strategy for researchers at all stages of their career, regardless of their contractual situation, including for researchers on fixed-term contracts. |  |  |
| continuing development of skills and competencies. Such measures should be regularly assessed for their accessibility, adoption and effectiveness in improving competencies and skills.employability. | competences. |